Impact of cross-border-associated cases on the SARS-CoV-2 epidemic in Switzerland during summer 2020 and 2021

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A B S T R A C T

During the summers of 2020 and 2021, the number of confirmed cases of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) infections in Switzerland remained at relatively low levels, but grew steadily over time. It remains unclear to what extent epidemic growth during these periods was a result of the relaxation of local control measures or increased traveling and subsequent importation of cases. A better understanding of the role of cross-border-associated cases (imports) on the local epidemic dynamics will help to inform future surveillance strategies.

We analyzed routine surveillance data of confirmed cases of SARS-CoV-2 in Switzerland from 1 June to 30 September 2020 and 2021. We used a stochastic branching process model that accounts for superspreading of SARS-CoV-2 to simulate epidemic trajectories in absence and in presence of imports during summer 2020 and 2021.

The Swiss Federal Office of Public Health reported 22,919 and 145,840 confirmed cases of SARS-CoV-2 from 1 June to 30 September 2020 and 2021, respectively. Among cases with known place of exposure, 27% (3,276 of 12,088) and 25% (1,110 of 4,368) reported an exposure abroad in 2020 and 2021, respectively. Without considering the impact of imported cases, the steady growth of confirmed cases during summer periods would be consistent with a value of \( R_e \) that is significantly above the critical threshold of 1. In contrast, we estimated \( R_e \) at 0.84 (95% credible interval, CrI: 0.78–0.90) in 2020 and 0.82 (95% CrI: 0.74–0.90) in 2021 when imported cases were taken into account, indicating that the local \( R_e \) was below the critical threshold of 1 during summer.

In Switzerland, cross-border-associated SARS-CoV-2 cases had a considerable impact on the local transmission dynamics and can explain the steady growth of the epidemic during the summers of 2020 and 2021.

1. Introduction

In early 2020, the emergence and spread of severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) in Europe was facilitated by travelers (Worobey et al., 2020). A simulation study showed that targeting of air-travelers with symptom-based screening at exit or entry points of countries likely delayed the local spread of SARS-CoV-2 during the first months of the pandemic. If travel-related control measures were introduced with a delay, i.e. after local transmission had already been triggered by earlier arrivals of travelers, then control measures only delayed local spread by a few days (Clifford et al., 2020). The role of travelers in the spread of SARS-CoV-2 changed after local transmission had been established with varying levels of incidence across countries. Russel et al. showed that the contribution of imported cases on the local epidemic dynamics strongly depends on the local incidence of SARS-CoV-2, whether local epidemics are close to tipping points for exponential growth, and travel volumes (Russell et al., 2021).

A phylogenetic analysis reported on a novel SARS-CoV-2 variant, 20E (EU1), which was identified in Spain and subsequently spread...
to countries across Europe in summer 2020 (Hodcroft et al., 2021). The study estimated that 20E (EU1) was introduced multiple times into various European countries including Switzerland. This illustrated how the rising incidence in the country of origin, lack of screening and surveillance efforts, and resumption of travel can quickly establish local outbreaks and epidemics and undermine local efforts to control SARS-CoV-2 transmission. The rapid spread of 20E (EU1) revealed that travel guidelines and restrictions in Europe were mostly not sufficient to reduce cross-border transmission as holiday travel to countries with a higher incidence was not accompanied with adequate screening and surveillance efforts. These findings were supported by a phylogenographic study that showed how the impact of imported viral lineages on the local transmission dynamics was negatively associated with the local incidence (Lemaitre et al., 2021). Furthermore, they estimated that Belgium, the Netherlands, Norway, and Switzerland had more introductions than exports during summer 2020, highlighting that imported cases were impacting the SARS-CoV-2 epidemic in these countries.

Like most European countries, Switzerland experienced rapid spread of SARS-CoV-2 after reporting the first confirmed case on 25 February 2020 (Lemaitre et al., 2020). The federal government issued a number of major non-pharmaceutical interventions (NPIs) that included mandatory working from home, closing of schools and universities, non-essential shops, and restaurants, prohibition of gatherings of more than five people, and restricted movement across borders. Switzerland lifted major measures on 10 May 2020 and reopened its borders to countries of the Schengen Area on 15 June 2020 (Federal Office of Public Health, 2020). Switzerland lies at the heart of Europe, neighboring five countries, and has a significant fraction of foreign residents and cross-border commuters. In a survey conducted in Switzerland in May 2020, almost 80% of participants indicated that traveling is an important part of their holidays (Heim and Müller, 2020). In another survey conducted in June 2020, 15% planned to spend their summer holidays abroad compared to 49% before the pandemic (Boshhardt et al., 2020). Around 4% were planning to go to Germany, 3% to France, and 2% to Italy or Austria. More distant holiday destinations like Spain or Greece were planned to be visited by less than 1%. In summer 2020, travel-related control measures were limited. Countries with a higher incidence compared to Switzerland were typically added to a quarantine list, but often with considerable delays or not at all. The epidemic changed between the summers of 2020 and 2021 with high infection levels in winter and the start of the vaccination campaign. We analyzed routine surveillance data of confirmed cases on the SARS-CoV-2 epidemic in Switzerland during the summer of 2020 and 2021 that were provided by the Swiss Federal Office of Public Health (FOPH). Data included age, sex, case date (earliest available date of sample, test or registration), and the country of exposure, i.e., the country where confirmed cases had been within the last 14 days before they were tested or began to have symptoms. We compared the age of cases from Switzerland and abroad using Student’s t-test. To compare the incidence of confirmed cases between Switzerland and other countries, we retrieved data from ‘Our World in Data’ (Ritchie et al., 2020; Hasell et al., 2020).

### 2. Methods

#### 2.1. Data

We simulated epidemic trajectories of SARS-CoV-2 in Switzerland from 1 June to 30 September 2020 and 2021. To this end, we used a stochastic branching process model that accounts for superspreading in transmission of SARS-CoV-2 (Althaus, 2015; Riou and Althaus, 2020). The branching process was based on a negative binomial distribution to describe the number of secondary cases, with a mean of $R_e$ and overdispersion $k$. The generation time for each transmission event was sampled from the gamma distribution with a mean of 5.2 days and a standard deviation (sd) of 1.72 days (Ganyani et al., 2020).

We considered different parameter combinations of $R_e$, $k$, and the seed, i.e., the number of infectious individuals during the week preceding the start of the simulation. For $R_e$, we assumed a uniform prior distribution between 0.5 and 1.5. $k$ was normally distributed between 0.49 and 0.52 (Laxminarayan et al., 2020). In a sensitivity analysis, we also considered values of 0.1 and 1 (Taube et al., 2021). To obtain the seed, we fitted a negative binomial generalized linear model with a weekend effect to account for varying testing rates to the daily number of confirmed cases during the study period. We then sampled from a uniform distribution of the 95% prediction interval of confirmed cases for the week before the study period (16–30 cases per day in 2020, and 98–300 cases per day in 2021).

We derived the number of imported cases from the routine surveillance data. In November 2020, registration procedures changed and the country of exposure was only available for hospitalized cases, deceased cases, and cases in nursing homes. Information on the place of exposure was only available for hospitalized cases, deceased cases, and cases in nursing homes. Information on the place of exposure was frequently missing (see Table 1 and Supplementary Table 1). To account for a potential reporting bias, we considered different scenarios for the total number of imported cases among all confirmed cases: (a) we assumed imported cases among reported cases were representative and extrapolated to the total number of cases (missing at random), (b) we assumed imported cases were less likely among cases with missing information (‘lower limit’ of (a)), (c) we assumed imported cases were more likely among cases with missing information (‘upper limit’ of (a)). The lower and upper limits correspond to 50% and 150% of the daily imports of the first scenario. We compared these three scenarios to a baseline scenario without any imported cases, i.e., a scenario where the number of imported cases was set to 0.
The incidence of SARS-CoV-2 cases in countries that were reported as a common place of exposure among Swiss cases varied widely (Supplementary Figure 3 and Supplementary Table 1). In 2020, the incidence per million in the 19 countries with at least 10 reported imports was higher compared to Switzerland during 52 of 122 days (IQR: 40–107 days) during the study period on average. At the beginning of June 2020, testing was not mandatory to enter Switzerland and none of these 19 countries were listed on 'Ordinance on Measures to Combat the Coronavirus (COVID-19) in International Passenger Transport' (Federal Council, 2021). This list has been regularly updated by the Swiss authorities based on the global epidemiological situation and their strategy to combat SARS-CoV-2. It is important to note that quarantine for travelers in Switzerland was not enforced, but was based on trust. Several countries were subsequently added to the quarantine list until the end of September 2020 (Supplementary Table 1). In 2021, the incidence in the 14 countries with at least 10 reported imports was higher compared to Switzerland during 52 of 122 days (IQR: 26–86 days) during the study period on average. During the study period of 2021, quarantine was only mandatory for travelers returning from specific countries, i.e., countries with unreliable surveillance data, a high number of imports, and countries where the incidence per million cases during the last two weeks was 60 times higher compared to Switzerland (Federal Council, 2021).

To understand the role of imported cases on the local dynamics of SARS-CoV-2 in Switzerland, we simulated epidemic trajectories for different import scenarios using a stochastic branching process model (Fig. 2 and Supplementary Figure 4). Calibrating the different scenarios for the total number of imports to the confirmed number of cases allowed us to estimate the values of the effective reproduction number \(R_e\) that are most compatible with the observed epidemic dynamics. In our baseline scenario (without imports), only an \(R_e\) above the critical threshold of 1 is compatible with the observed overall increase in the daily number of cases. Hence, we estimated a local \(R_e = 1.12\) (95% credible interval, CrI: 1.08–1.16) in summer 2020 and \(R_e = 1.09\) (95% CrI: 1.06–1.12) in summer 2021, suggesting that the local \(R_e\) in Switzerland was above the critical threshold of 1 during those time periods (Fig. 3). In contrast, taking imports into account does not require a continuous exponential growth of the local epidemic to be compatible with the data. In scenario (a), assuming reported imports were representative, i.e., unreported imports were missing at random, we estimated a considerably lower local \(R_e = 0.84\) (95% CrI: 0.78–0.90) and \(R_e = 0.82\) (95% CrI: 0.74–0.90) in summer 2020 and 2021, respectively (Figs. 2 and 3). Despite \(R_e\) being below the critical threshold of 1, the simulated epidemics were still growing due to imported cases and subsequently declined when imports decreased after mid-August. The additional scenarios (b) (lower limit that assumed imports were underreported) and (c) (upper limit that assumed imports were underreported) also resulted in estimates of \(R_e\) that were below the critical threshold of 1 (Fig. 3 and Supplementary Table 2).

In contrast to the baseline scenario, the scenarios with imported cases can qualitatively account for the initial increase in incidence during summer, and the subsequent decrease in the second half of September during both years. We further conducted a quantitative comparison of the quality of the calibrated scenarios in describing the observed number of cases (Supplementary Figure 5). For 2020, scenario (a) resulted in the lowest RMSE (664) followed by scenario (b) (697), scenario (c) (732), and the baseline scenario (746). For 2021, the RMSE was lowest for scenario (a) (6,328) and scenario (c) (6,327), followed by scenario (b) (6,525), and the baseline scenario (8,252). The quantitative comparison of the different scenarios further illustrates that taking into account imported cases results in a better description of the initial increase in confirmed cases during summer and the subsequent decline in the second half of September during both summers.

In a sensitivity analysis, we evaluated the effect of different levels for the overdispersion (superspreading) on the estimated values of \(R_e\).
We hypothesized that overdispersion can strongly affect the role of imports on the local dynamics. However, we found that higher and lower values of $k$, representing more and less superspreading, did not result in notable differences estimates of $R_e$ (Supplementary Table 2).

4. Discussion

We analyzed routine surveillance data and used a stochastic branching process model to quantify the impact of imported cases on the SARS-CoV-2 epidemic in Switzerland during summer 2020 and 2021. We found that 27% and 25% of cases with a known place of exposure reported an exposure abroad in 2020 and 2021, respectively. Stochastic simulations suggested that the local $R_e$ was likely below the critical threshold of 1, highlighting that transmission within Switzerland was under sufficient control during the summers of both years. Our results indicate that imported cases caused the local epidemic to cross the tipping point of sustained growth. Imports led to a steady rise in cases from June to August and a drop in September in both years.

Together, our results highlight that the high number of imported cases had a considerable impact on the dynamics of the Swiss SARS-CoV-2 epidemic during periods when local transmission was low. Our study relied on detailed surveillance data from FOPH that provide information about the place of exposure for a subset of all confirmed SARS-CoV-2 cases. This allowed us to track imported cases by country of exposure and over time in detail. The stochastic modeling approach was particularly suitable to describe the impact of single importation events on the epidemic dynamics in low incidence settings. Another strength of our study was that our main findings and conclusions are supported by both data sets from summer 2020 and 2021.

There are a number of limitations to our study. First, information on the place of exposure was missing for a significant fraction of confirmed cases. This was because the place of exposure was only reported via the clinical report form, which could have been filled in for all cases up to 2 November 2020 and thereafter only for hospitalized cases, deceased cases, and cases in nursing homes. Since hospitalized
cases, deceased cases, and patients in nursing homes tend to be older, cross-border-associated cases were older in 2021 than in 2020. To account for uncertainty of missing data, we compared the results of our baseline scenario (missing at random) with alternative scenarios that assumed imported cases were less or more likely to have unknown place of exposure than local cases. Second, the data did not allow to distinguish between different types of traveling, like daily commuting across borders to Germany, France, Italy, Austria, and the Principality of Liechtenstein, Swiss residents returning from trips abroad, or foreign tourists. There was also no information about the modes of transport like plane, car or public transport. Hence, the results of our study do not allow deriving specific interventions that would reduce the number of imported cases. Third, we assumed that the ascertainment of confirmed cases was constant and that they reflected the underlying dynamics of the SARS-CoV-2 epidemic. During the study periods, the degree of under-ascertainment was relatively low, suggesting that the confirmed cases represented the summer epidemics well (Nadeau et al., 2020; Stringhini et al., 2021). Fourth, we restricted the study periods to the summer of 2020 and 2021 and assumed a constant $R_e$ in our model simulations. We specifically chose these time periods because earlier research has shown the importance of holiday travel for the spread of SARS-CoV-2 during summer (Hodcroft et al., 2021). Furthermore, the locally implemented NPIs varied little throughout the study periods (the KOF Stringency Index remained largely constant from July to October of both years (Pleninger et al., 2021) and Supplementary Figure 1B) and the impact of seasonal effects on virus transmission is unlikely to change substantially during summer months (Neher et al., 2020). In contrast, $R_e$ varied considerably from autumn to spring and our stochastic modeling framework would not accurately capture the transmission dynamics during this time period. It is worth noting that the emergence of the variant Delta resulted in a higher intrinsic transmissibility of SARS-CoV-2 in summer 2021 compared to 2020 (Campbell et al., 2021). Fifth, we assumed that imported cases were as likely to generate secondary cases as local cases. In summer 2020, incoming travelers were not routinely tested but should have followed quarantine when they came from certain countries. Since quarantine was not enforced as in other countries (e.g., Australia or New Zealand) but based on trust, the adherence to this measure and its impact on further transmission of SARS-CoV-2 from imported cases – compared to local cases – remains unclear. Furthermore, mandatory quarantine for travelers from countries with many imported cases (e.g., France, Croatia, Italy, Germany) were only introduced in September 2020 or not at all. In the future, more detailed models that are informed by behavioral data and include different transmission rates could further improve our understanding of transmission from imported and local cases (Ashcroft et al., 2021). Finally, we did not consider different age groups and age-specific contact patterns in our model (Coletti et al., 2020; Jarvis et al., 2020). Our finding that the median age of imported cases was lower compared to local cases indicates that future studies should also consider social contact patterns of travelers to better understand their impact on SARS-CoV-2 epidemics.

Other estimates of the $R_e$ for Switzerland during the same time period were similar to our baseline scenario (https://github.com/covid-19-Re) (Huisman et al., 2020). However, these values likely represent overestimates. The results from our main scenario accounting for imports suggest that the local $R_e$ was below 1 and that imported cases led to a steady growth in the number of confirmed cases. Our study therefore supports the notion that travelers play an important role in the spread of SARS-CoV-2 (Worobey et al., 2020; Hodcroft et al., 2021; Shearer et al., 2022), and provides further evidence that the contribution of imported cases on the local epidemic dynamics depends on the local incidence (Russell et al., 2021; Lemey et al., 2021). Furthermore, the risk of getting infected with SARS-CoV-2 while abroad can differ from the risk at home due to differences in incidence...
Fig. 3. Estimates of the effective reproduction number $R_e$ of SARS-CoV-2 during summer 2020 and 2021. Three different import scenarios are compared to the baseline scenario that does not consider imports. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

and behavior. Many infected travelers returned to Switzerland from countries with a similar incidence to Switzerland, but incidence levels varied widely within countries and were arguably higher in tourist hotspots. An earlier study indeed showed that the number of imported cases is typically higher than what one would expect based on the incidence and travel volume (Hodcroft et al., 2021). In addition, it is likely that traveling is associated with leisure activities that result in a higher risk of infection (Coletti et al., 2021).

Public health measures to control the spread of SARS-CoV-2 from travelers will continue to play an important role in the global response against the SARS-CoV-2 pandemic. During the beginning of the pandemic, response strategies have varied enormously ranging from containment (e.g., Australia, New Zealand or Taiwan) to mitigation (e.g., European countries and the United States). Compared to 2020 and 2021, the situation had changed considerably due to the increasing levels of naturally acquired and vaccine-elicited immunity that substantially reduced COVID-19-related morbidity and mortality. Hence, the continuation of travel measures such as screening, quarantine, isolation, contact tracing, vaccination passports, and travel restrictions have to be carefully balanced against the high societal and economic costs that accompany these measures (Ashcroft et al., 2021; Clifford et al., 2021; McCrone et al., 2022). The choice of an objective for (re-)implementing travel measures will likely depend on the local context and the global situation with respect to SARS-CoV-2 transmission and the prevalence of variants of concern (VoCs). The expected seasonal variation in the level of SARS-CoV-2 transmission, the rise of the Omicron variant (Viana et al., 2022), and the expected emergence of future VoCs with altered transmission underlines the importance of internationally coordinated and evidence-based strategies to travel measures (Kucharski et al., 2022; McCrone et al., 2022). Though the outlook for SARS-CoV-2 may suggest that travel-restrictions may play a less prominent role in the future, they are particularly critical before other methods of treatment or prevention, like vaccination, are available, or when they are limited. Thus, developing frameworks to better understand the role of imported transmissions is critical for being prepared for future pandemics, so that effective measures can be successfully implemented while minimizing disruption.

The results of this study advance our understanding of the role of imported cases on the local epidemic dynamics of SARS-CoV-2. We found that imported cases can explain the steady growth of the epidemic in Switzerland during summer 2020 and 2021, a period without strong surveillance and control measures for travelers. Improved screening and surveillance efforts targeting travelers can continue to be valuable tools for controlling transmission of SARS-CoV-2, especially when local incidence is low.

CRediT authorship contribution statement

Martina L. Reichmuth: Conceived and designed the study, Performed the analysis, Interpretation of the results, Wrote the manuscript, Commented on the manuscript, Approved the final version. Emma B. Hodcroft: Interpretation of the results, Commented on the manuscript, Approved the final version. Julien Riou: Conceived and designed the study, Interpretation of the results, Commented on the manuscript, Approved the final version. Richard A. Neher: Interpretation of the results, Commented on the manuscript, Approved the final version. Niel Hens: Interpretation of the results, Commented on the manuscript, Approved the final version. Christian L. Althaus: Conceived and designed the study, Interpretation of the results, Wrote the manuscript, Commented on the manuscript, Approved the final version.
Declaration of competing interest
The authors declare that they have no known competing financial interests or personal relationships that could appear to influence the work reported in this paper.

Data availability
The authors do not have permission to share data.

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Appendix A. Supplementary data
Supplementary material related to this article can be found online at https://doi.org/10.1016/j.epidem.2022.100654.

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