



Checklist for evaluators

Developing a Proposal for an Evaluation Mandate

Awarding an evaluation mandate is a competitive process. The Evaluation and Research Service uses a checklist “Assessment of an Evaluation Proposal” for judging the submissions, first, individually and then by making a cross comparison of the results. The main criteria (judgment criteria) are: appropriateness, price, deadlines, criteria about the evaluators, and the general impression which emerges.

The proposal must meet the following criteria first and foremost:

- The mandate has been correctly understood and the proposed procedure is clear
- Data collection is adequate for responding to the evaluation questions. Any gender aspects are considered
- Data treatment and analysis are adequate
- Evaluation products are adequately described
- Overall, the cost-benefit ratio is appropriate
- The time plan is realistic
- The evaluation team satisfactorily meets the conditions needed to carry out the mandate
- The overall impression is convincing

The checklist “Assessment of an Evaluation Proposal” is based on the above eight criteria; sub-criteria are also included, but are not exhaustive nor deliberately weighted and rated individually.

Everything is important! What deserves particular attention?

In this checklist, the Evaluation and Research Service proposes several items which it believes merit particular consideration.

1. Size and completeness of the proposal

In principle, the size of the proposal should be commensurate with the significance of the mandate! But as a general rule, it should not exceed eight to ten pages A4 (excluding title page, table of contents and annexes). It must be complete and include the required signatures.

2. Bidders' proposals within the framework / in addition to the mandate

The specifications set out in the terms of reference are generally more or less directive (“focused on performance” / “solution seeking”). However, bidders are encouraged to propose their own ideas, additions, variations etc. These must be realistic and present an added value.

3. Logic models

Illustrations showing the logic (of the flow or effects) of the programme, packet of measure or project is a structuring element: it serves as the basis of communication. Programme logic models are therefore most welcome, especially when used to emphasise the focus of an evaluation.

4. Multi-method approaches

Basically, bidders must plan on using adequate **multi-method** (combining quantitative and qualitative methods) **approaches**.

Wherever possible, the proposal should describe the proposed tools and corresponding criteria, for example, for verifying if the programmes, projects or measures are a “success”.

Just how this information might be presented is illustrated in the following tables. The example given is taken from the “Strategic objective: elimination of measles by 2015”.

Main Evaluation Questions	Target Group(s) for data collection	Methods data collection, treatment and/or analysis	Sample (size N/n = ?; justification of sample selection)	Available Data (available data sources?)
Effectiveness: By the end of 2015, will 95% of children up to 2 years have received two doses of the measles vaccine?	Population (parents)	Secondary Analysis Swiss National Vaccine Coverage Survey by canton (SNVCS): Comparative analysis per canton, per 3-year cycle, from 1999 to 2016	n = 8000 per cycle	SNVCS data available
		New data collection: population survey in 2016 (parents)	n ₂₀₁₆ = 860 (planned)	
		Comparison target situation vs actual situation – and before-after comparison: national population survey (2012/2016)	n ₂₀₁₂ = 860	National population survey: baseline (2012) – data available
Effectiveness: Which measures are cantons using to assure long term vaccination of under 2-year olds?	Cantons (Health Directors)	Documentary research and analysis		
		Semi-directive interviews	Cantons: n = 3	
		Written questionnaire survey	Cantons: N = 26	

Unit of Comparison / Criteria of Assessment	Comparative Analysis (comparison target situation vs actual situation – before-after; longitudinal and cross comparisons)
Vaccine coverage rate	<p>Cross comparison, longitudinal comparison:</p> <ul style="list-style-type: none"> Vaccine coverage ratio by canton and by 3-year cycle (SNVCS) <hr/> <p>Comparison target situation – actual situation</p> <ul style="list-style-type: none"> target: 95% of children under 2 years with two doses actual: actual vaccine coverage with two doses <hr/> <p>Comparison before-after:</p> <ul style="list-style-type: none"> vaccine coverage rate 2012 vaccine coverage rate 2016

Checklist "Assessment of an Evaluation Proposal"

- References:
- Bundesgesetz über das öffentliche Beschaffungswesen (SR 172.056.1)
 - Verordnung über das öffentliche Beschaffungswesen (SR 172.056.11)
 - Checklist "Developing a Proposal for an Evaluation Mandate"; FOPH, Evaluation and Research Service
 - Terms of reference for this evaluation (= evaluation mandate)
 - Federal Council's guidelines on the consideration of gender in Federal studies and statistics, 2024

PLEASE COMPLETE THE FOLLOWING:

Proposal Title:	
Bidders:	
Assessment by:	
Date:	

0 Exclusion Criteria

Tick the relevant box

Delay: The proposal arrived after the deadline.	<input type="checkbox"/>
Dependence / bias: The bidders have conflicting interests which would affect (bias) the mandate.	<input type="checkbox"/>

<p>a) Before assessing the proposals, the weighting of the judgment criteria should be determined. b) To assess the proposals, use the points scale below for scoring the criteria. * Assessment Points Scale (maximum 2 digits after the decimal point): 1 = unusable; requirements not fulfilled 2 = bad; requirements largely unfulfilled 3 = insufficient; requirements only partially fulfilled 4 = sufficient; merely respected requirements 5 = good; all the requirements fulfilled 6 = very good; all the requirements fulfilled to high standard</p>	Assessment *	Weighting of Judgment Criteria	Average Points (AP)	AP Weighting
1 Adequacy of the Proposed Activities				
The mandate has been correctly understood and the proposed procedure is clear.	<input type="checkbox"/>	25%		
The initial/problematic situation is clearly understood.	<input type="checkbox"/>			
The evaluand is adequately described; ideally the description is based on an "logic model".	<input type="checkbox"/>			
The mandate is correctly understood.	<input type="checkbox"/>			
The phased work plan and corresponding time allocations are set out in a way that is clearly comprehensible.	<input type="checkbox"/>			
A potential use of artificial intelligence applications is described in a clear and comprehensible way and human control is explicitly provided for.	<input type="checkbox"/>			
Data collection is adequate for responding to the evaluation questions.	<input type="checkbox"/>			
The selected evaluation approach, data collection methods and use of data are adequate.	<input type="checkbox"/>			
The selected sample(s) and sampling size(s) are appropriate. Any gender aspects are considered.	<input type="checkbox"/>			
Data sources and data availability (accessibility) are indicated.	<input type="checkbox"/>			
Data treatment and analysis are adequate.	<input type="checkbox"/>			
Proposed methods for data treatment are adequate.	<input type="checkbox"/>			
Specification of the evaluation criteria and their application (and how they are made measurable) are appropriate.	<input type="checkbox"/>			
Data analysis procedures are clearly described.	<input type="checkbox"/>			
Evaluation products are adequately described.	<input type="checkbox"/>			
In the proposal, the required evaluation products are adequately detailed and proposed in an appropriate format.	<input type="checkbox"/>			
The detailed discussion of the scientific investigation's relevance and limitations is adequate.	<input type="checkbox"/>			
2 Price (cost)				
Overall, the cost-benefit ratio is appropriate.	<input type="checkbox"/>	30%		
Price setting is transparent.	<input type="checkbox"/>			
The total cost is realistic / respects the project's maximum budget allowance.	<input type="checkbox"/>			
The average daily fee (total cost divided by the number of planned work days) is acceptable.	<input type="checkbox"/>			
3 Time Plan				
The time plan is realistic.	<input type="checkbox"/>	15%		
Possible risks for conducting the evaluation and their potential impact on the timetable have been taken into account.	<input type="checkbox"/>			
4 Criteria relative to the Bidders				
The evaluation team satisfactorily meets the conditions needed to carry out the mandate.	<input type="checkbox"/>	20%		
The evaluation team has sufficient, available staff resources to fulfill the mandate.	<input type="checkbox"/>			
The project organisation (tasks / competences / responsibilities) is clearly set out.	<input type="checkbox"/>			
The evaluation team has acquired professional experience through working on other evaluation projects.	<input type="checkbox"/>			
The evaluation team has the necessary language skills, particularly in German and French.	<input type="checkbox"/>			
Team members' independence and impartiality are declared (no conflict of interests).	<input type="checkbox"/>			
5 Overall Impression				
The overall impression is convincing.	<input type="checkbox"/>	10%		
In general, the proposal is consistent with the terms of reference.	<input type="checkbox"/>			
The flexibility permitted in the request for proposals (e.g. originality, creativity, innovativeness) has been taken up.	<input type="checkbox"/>			
The proposal is clearly written and error free.	<input type="checkbox"/>			
		100%	Total	0.00

Comments / Notes

Proposal Title:

Bidders:

Exclusion Justification	

Principal Criteria	Aspects / Facts	Assessment	
		strength (+)	weakness (-)
Adequacy			
Price (cost)	Total costs (TVA included) amounts to CHF		
Time Plan			
Criteria relative to the Bidders			
Overall Impression			